Substitute for Form 1449 A & B/PTO INFORMATION DISCOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet	1	of	2

Сотр	olete if Known		
Application Number 09/821,159			
Confirmation Number	4266		
Filing Date	March 30, 2001		
First Named Inventor	Herbert BARTHEL		
Art Unit	2115		
Examiner Name	Dennis Butler		
Attorney Docket Number	Q63371		

U.S. PATENT DOCUMENTS								
Examiner Cite Document Number Publication Date								
Examiner Initials*	No.1	Number Kind Code <sup>1</sup> (if known)		MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
BMG		US 5,610,579		3/11/1997	EARLY ET AL.			
DMB		US 5,657,193		8/12/1997	PURKAYASTHA			

Examiner Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or		
	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>	
DMB		DE	41 33 636	Al	4/15/1993		No
D/MB		wo	92/13121		8/6/1992		Abstract
DMB		DE	197 27 733	Al	1/7/1999		No
DMB		DE	696 06 898	T2	10/9/1996	· ·	No
DMB		EP	0 499 675	Bl	8/26/1992		Abstract
DMB		DE	41 33 636	A1	4/15/1993		No
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation
DMB		Description of the SSK signal switch card from Signalbau Huber, dated October 15, 1992	No
DMB		Description of the MTC 3000 system for use with the SSK, dated February 1, 1993	No
DMB		Description of the MTC 4000 control device family from Signalbau Huber, dated 1994	No
DMB		Description of an ESST module from Signalbau Huber, dated August 1993	No
DMB		ESST safety instructions from Signalbau Huber, dated October 1993	No
DMB		System description of the line influencing system of Bosch Signalbau Huber AG, dated October 14, 1995	No
DMB		Order for the construction project for the line influencing system of Signalbau Huber, dated November 24, 1995	NO
DMB		Acceptance document for the line influencing system of Signalbau Huber, dated December 19, 1996	No
DMB		Siemens, "SIMOCODE-DP 3UF5 system, motor safety and control device," Handbook, 1998, Order No. 3UF57 00-0AA00-0 issued by the Automation and Drive Technology Division, Low-Voltage Switchgear Area, dated 1998	No
DMB		Siemens, SIMOCODE 3UF1/2 system, confirmation, dated 1986	No
DMB		Siemens, "Switchgear equipment and system": The 96/97 catalog of low-voltage switching technology, Order No. E20002-K1002-A7 issued by the Drive Technology, Switchgear and Installation Technology Department, Low-Voltage Switchgear Area, dated 1996/97	No
DMB		Babb, M.: "Motor overload protection becomes 'Communications-capable'," Control Engineering International, US, Cahners Publishing, Vol. 43, No. 8, June 1, 1996, pp. 69-70, 72, XP000621754, ISSN: 0010-8049	

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Examiner Signature	2 Divides Mr. 15 Miles	Date Considered	11 10.01

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the scrial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

		•		Atty. Docket No.	Serial N	10.:	Ta S	
Form PTO-1449 (Rev. 2-32)		U.S. Department of Patent & Trademark		Q063371	Q063371		121159 	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: BARTHE	09/8				
				Filing Date: March 30, 2001	Group:		ř	
			U.S. PATE	ENT DOCUMENTS				
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date (if appropriate)	
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50	<u> </u>	Document	Date	Country	Class	Sub- class	Translation Yes/No	
DMB	1	DE 41 33 636 A1	04/15/1993	Germany	1	=	No	
DMB DMB	4	EP 0 499 675 B / WO 92/13121	02/22/1991 08/06/1992	Europe WIPO	_	=	No (Abstract)	
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	T 7	OTHER DOCUME	M19 (Incidend	g Author, Title, Date, P	ertinent rages	, Etc.)		
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